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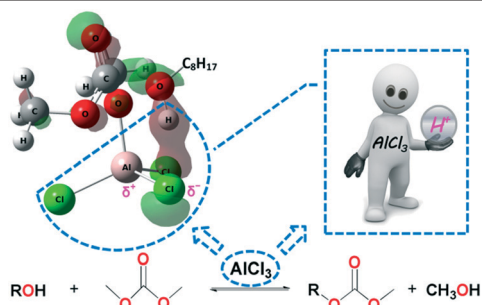
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**DFT and experimental analysis of aluminium chloride as a Lewis acid proton carrier catalyst for dimethyl carbonate carboxymethylation of alcohols**

Saimeng Jin, Yin Tian,\* Con Robert McElroy,  
Dongqi Wang, James H. Clark and Andrew J. Hunt\*

*In silico* and physical experimental data led to a potential acid (AlCl<sub>3</sub>) catalysed mechanism for DMC carboxymethylation.

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## PAPER

## DFT and experimental analysis of aluminium chloride as a Lewis acid proton carrier catalyst for dimethyl carbonate carboxymethylation of alcohols†

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James H. Clark <sup>a</sup> and Andrew J. Hunt <sup>\*ad</sup>

The Lewis acid catalysed mechanism of dimethyl carbonate (DMC) mediated carboxymethylation of alcohol was investigated experimentally and through computational chemistry methods including density functional theory (DFT). Experimental data showed that catalytic loading of AlCl<sub>3</sub> enabled the quantitative carboxymethylation of octanol in less than 20 h, while in the absence of a catalyst only trace product was observed. The geometry of the identified transition states and related energy barriers indicate that the activation energies in AlCl<sub>3</sub> catalysed pathways are significantly lower than those in catalyst-free pathways. Theoretical quantum chemistry methods were utilised to explore and analyse the complex of DMC with AlCl<sub>3</sub>. Natural bond orbital theory analysis and molecular orbital analysis demonstrated that the dipole present in Al–Cl covalent bonding plays a vital role in assisting the proton-transfer process. Most importantly, the reaction mechanism disclosed in this research can aid in the exploration of new Lewis acid catalysed processes in the field of dialkyl carbonate chemistry.

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## Introduction

Dimethyl carbonate (DMC) is an organic compound of interest due to its various green credentials. It is non-toxic, biodegradable,<sup>1</sup> and considered to be a green solvent.<sup>2</sup> Specifically, DMC is accepted as a safer alternative to traditional highly toxic chemicals such as dimethyl sulphate (DMS) and iodomethane for methylation reactions and phosgene for carboxymethylation reactions.<sup>3</sup> A bimolecular acyl cleavage, nucleophilic substitution (B<sub>AC</sub>2) mechanism has been suggested for base catalysed DMC carboxymethylation, where the carbonyl of DMC undergoes nucleophilic attack, followed by the breaking of the C–O bond to yield the product and methanol.<sup>3,4</sup> Hard-soft acid-base (HSAB) theory<sup>5</sup> was also used to explain the base mediated mechanism of DMC, where a soft nucleo-

phile predominately reacts with the soft methyl group of DMC resulting in methylation, while a hard nucleophile reacts with the hard carbonyl group of DMC to form the carboxymethylation products.<sup>6</sup>

To further explain the reaction mechanisms of dialkyl carbonate chemistry, density functional theory (DFT),<sup>7</sup> a quantum mechanics method for the study of electronic structure of multi-electron systems, has also been successfully employed in a number of studies. DFT gave an insight into the catalytic selectivity of NaY zeolite towards either methylation or carboxymethylation reactions of DMC and the role of the counter ion.<sup>8</sup> DFT, when applied to base catalysed carboxyl methylation reactions of alcohols with various dialkyl carbonates, generated results which were in agreement with experimentally observed trends in leaving and entering groups.<sup>9</sup> When used to examine base catalysed reactions between 1,4-diols and DMC, DFT indicated that cyclisation to form 5 membered ethers was energetically the most favourable reaction product.<sup>10</sup> Although base catalysed reactions of DMC have been extensively covered, no mechanistic studies of Lewis acid or catalyst-free carboxymethylation reactions have been observed in the literature. A recent study reported near quantitative carboxymethylation of primary alcohols in the presence of small quantities of Lewis acid in DMC.<sup>11</sup> Understanding the role of Lewis acids in DMC carboxymethylation reactions and the mechanism by which it occurs, is of

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significance in expanding the range of catalysts available for green dialkyl carbonate chemistry.

Herein, is reported a combined experimental and DFT study of the mechanism of carboxymethylation of alcohols by DMC with a Lewis acid and in catalyst-free conditions. During this research,  $\text{AlCl}_3$  and 1-octanol were selected as the representative Lewis acid and aliphatic alcohol, respectively.

## Results and discussion

### Aluminium chloride catalysed DMC carboxymethylation of 1-octanol

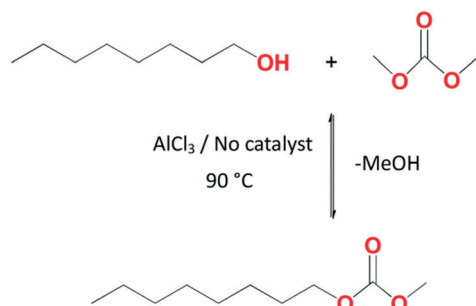
Before *in silico* modelling could be carried out, experimental data for the carboxymethylation of an alcohol by DMC both in the presence of a Lewis acid catalyst and catalyst-free needed to be gathered (Scheme 1). As such, yields of methyl octyl carbonate (MOC) from the reaction of 1-octanol and DMC were obtained every hour over a 19 hours period with and without a catalyst and are shown in Table 1.

The reaction proceeded far more rapidly in the presence of 1% aluminium chloride, giving a near quantitative yield of MOC after 19 hours. Over the same time period, the catalyst-free reaction results in negligible formation of MOC. This indicated that  $\text{AlCl}_3$  has an excellent ability to efficiently reduce the activation energy towards carboxymethylation of 1-octanol.

### Complexation between DMC and $\text{AlCl}_3$

DMC contains three electronegative centres each of which could coordinate with  $\text{AlCl}_3$  through their lone pair of electrons. To confirm this, a combined nuclear magnetic resonance (NMR), Fourier transform infrared spectroscopy (FT-IR) and DFT study were employed to investigate the coordination between DMC and aluminium chloride.

The  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR of pure DMC and DMC with  $\text{AlCl}_3$  (mole ratio of 10:1) were acquired (full spectra can be found in ESI† section 1). As is evident in Fig. 1, the  $^1\text{H}$  NMR of DMC with aluminium chloride has a higher chemical shift than that of the pure DMC, indicative of deshielding of the  $\text{CH}_3$  protons of DMC. Table 2 gives  $^{13}\text{C}$  NMR results, again showing deshielding brought about by the presence of  $\text{AlCl}_3$ . This provides evidence that aluminium chloride complexes with DMC.



Scheme 1 Synthesis of MOC from 1-octanol and DMC.

The experimental FT-IR spectra of  $\text{AlCl}_3$ , DMC and a mixture of DMC and  $\text{AlCl}_3$  were also obtained. The strong stretching vibration of  $\text{Al-O}_{\text{ester}}$  ( $455\text{ cm}^{-1}$ ) and  $\text{Al-O}_{\text{ketonic}}$  ( $488\text{ cm}^{-1}$ ) in the mixture of DMC and  $\text{AlCl}_3$  can be observed, suggesting coordination between  $\text{AlCl}_3$  and DMC. The theoretical FT-IR spectrum generated by DFT calculation (at the CAM-B3LYP-D3/6-311+G(d,p) level) also supports these results (see ESI† Fig. S8 and S9), where the corresponding two stretching vibration peaks can be found with similar peak interval ( $32\text{ cm}^{-1}$ ) in the range of  $390\text{ cm}^{-1}$  to  $490\text{ cm}^{-1}$ .

On the basis of NMR and FT-IR results, the formation and the structure of DMC and  $\text{AlCl}_3$  complexes were investigated by DFT. DFT calculations reveal that the complexation between DMC and  $\text{AlCl}_3$  results in depolymerisation of dimeric aluminium chloride, as shown in Fig. S10 (see ESI† section 3). Detailed calculations revealed that the complexation between DMC and  $\text{AlCl}_3$  gives two optimised structures (Fig. 2), where  $\Delta H$  and  $\Delta G$  values are negative, suggesting these two complexation processes are both spontaneous and exothermic. As such, both complexes simultaneously exist and can freely interconvert in the system. Further discussion of these calculations can be found in the ESI† (section 3 and 4). Both experimental and *in silico* data demonstrate that aluminium chloride readily complexes with DMC.

### Computational study of potential reaction pathways

Calculations carried out on possible transition states for catalyst-free carboxymethylation of 1-octanol indicate two favourable pathways (path A and path B) as shown in Scheme 2. Both mechanisms require two molecules of 1-octanol to be present for the reaction to occur. The first alcohol acts as a nucleophile, while the second serves as a bridge to aid in proton transfer. In path A, the nucleophilic 1-octanol molecule ( $\text{O}_{a1}$ ) interacts with the electrophilic carbon atom  $\text{C}_1$  and its labile proton  $\text{H}_{a1}$  transfers to the assisting alcohol  $\text{O}_{a2}$ . Simultaneously, the proton of the bridging alcohol  $\text{H}_{a2}$  transfers towards the oxygen atom  $\text{O}_2$  (Fig. 4a). The transition state (A-TS) has a six-membered ring structure comprising  $\text{C}_1$ ,  $\text{O}_{a1}$ ,  $\text{H}_{a1}$ ,  $\text{O}_{a2}$ ,  $\text{H}_{a2}$  and  $\text{O}_2$  atoms. The imaginary frequency is  $596.2i\text{ cm}^{-1}$ , which is mainly associated with the simultaneous creation of  $\text{C}_1\text{-O}_{a1}$ ,  $\text{H}_{a1}\text{-O}_{a2}$  and  $\text{H}_{a2}\text{-O}_2$  bonds, and the destruction of  $\text{O}_{a1}\text{-H}_{a1}$ ,  $\text{O}_{a2}\text{-H}_{a2}$  and  $\text{O}_2\text{-C}_1$  bonds. The imaginary vibrational frequency corresponds to the highest potential energy surface, namely the transition state structure.<sup>12</sup> Path B is a two-step addition/elimination mechanism. As described Scheme 2, this pathway begins with the alcohol assisted addition of  $\text{R-OH}$  to the  $\text{C}_1=\text{O}_1$  carbon and proceeds *via* two different transition states (B-TS1 and B-TS2 as shown in Fig. 4). In the first six-membered ring transition state B-TS1, the imaginary frequency is  $1247.1i\text{ cm}^{-1}$ , associated with the nucleophilic attack of alcohol ( $\text{O}_{a1}$ ) at the  $\text{C}_1$  atom,  $\text{H}_{a1}$  proton transfer from reactant 1-octanol ( $\text{O}_{a1}$ ) towards the assistant 1-octanol ( $\text{O}_{a2}$ ) and subsequent  $\text{H}_{a2}$  proton transfer from  $\text{O}_{a2}$  to the carbonyl oxygen  $\text{O}_1$ . The second step is the elimination process, which



**Table 1** The yield of MOC synthesised from 1-octanol and DMC in the presence or absence of  $\text{AlCl}_3$  at different reaction time<sup>a</sup>

Reaction time (h)	Yield of MOC (%) in the presence of $\text{AlCl}_3$ <sup>b</sup>	Yield of MOC (%) without catalyst <sup>b</sup>
1	0	0
2	3	0
3	7	0
5	15	0
7	25	<1
9	39	<1
11	49	<1
13	61	<1
15	73	<1
17	88	<1
19	99	<1

<sup>a</sup> Reaction conditions: 1-octanol/DMC/ $\text{AlCl}_3$  = 6.00 mmol: 240.00 mmol: 0.06 mmol/0.00 mmol;  $T = 90^\circ\text{C}$ . <sup>b</sup> Yields were confirmed by GC with tetradecane as internal standard; selectivity >99% towards MOC.

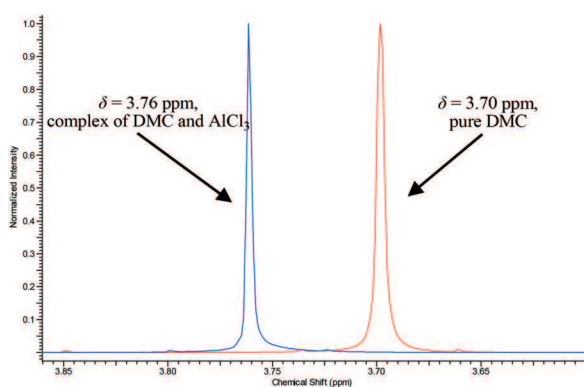
is the breaking of the  $\text{C}_1\text{--O}_2$  single bond and the simultaneous restoration of the  $\text{C}_1=\text{O}_1$  carbonyl functionality. As shown in Fig. 3, the DFT calculation indicates that the relative energy of B-TS1 ( $27.8\text{ kcal mol}^{-1}$ ) is lower than that of B-TS2 ( $31.4\text{ kcal mol}^{-1}$ ), which suggests that the latter is the rate-determining step of the pathway. In addition, two single alcohol, 4 membered transition state reaction pathways were calculated, however the activation energy is significantly higher than those of path A and B (see ESI† section 5). This indicates that the formation of the favoured six-membered ring transition state results in reduced ring constraint in proton transfer. As described in Fig. 3, the formation of the different hydrogen bond or coordination bond should lead to the energy differences between the various product complexes (A-PC, B-PC and C-PC). These structures are all shown in ESI† section 8.

The mechanism for  $\text{AlCl}_3$  catalysed carboxymethylation of 1-octanol with DMC was also determined and involves three different reaction transition states (path C, Scheme 2). In the first step, the imaginary frequency ( $247.8\text{ i cm}^{-1}$ ) for the transition state mainly corresponds to nucleophilic attack of 1-octanol ( $\text{O}_{a1}$ ) on the carbonyl ( $\text{C}_1$ ) of DMC and proton transfer of  $\text{H}_{a1}$  from the alcohol to a chlorine of the Lewis acid. Simultaneously, the creation of the  $\text{O}_2\text{--Al}$  covalent bond occurs.

In the second step, the imaginary frequency ( $392.4\text{ i cm}^{-1}$ ) for the transition state corresponds to the simultaneous creation of the hydrogen bond  $\text{H}_{a1}\text{--O}_3$  and the cleaving of the  $\text{H}_{a1}\text{--O}_{a1}$  bond. As shown in Fig. 4e, this  $\text{AlCl}_3$ -assisted proton-transfer step is significant in this pathway, corresponding to the lower energy barriers ( $14.5\text{ kcal mol}^{-1}$ ). The Lewis acid plays the role of proton carrier in this pathway.

In addition, natural bond orbital (NBO) theory<sup>13</sup> was performed to analyse Wiberg bond indices (WBIs)<sup>14</sup> and natural atomic charges of these species (Table 3). For species C-IM1, the natural atom charges on aluminium atom and chlorine atom are 1.474 and  $-0.460$ , respectively, which relate to the  $\text{Al--Cl}$  dipole. The WBIs is a measure of the bond order and hence, of the bond strength between two atoms. As depicted in Table 3, the WBIs of  $\text{H}_{a1}\text{--Cl}_1$  in C-IM1, C-TS2 and C-IM2 are 0.264, 0.825 and 0.284, respectively, suggesting that the  $\delta^+$  active proton ( $\text{H}_{a1}$ ) could be stabilised by  $\delta^-$  chlorine atom ( $\text{Cl}_1$ ) in the proton-transfer process. Furthermore, the WBIs of  $\text{H}_{a1}\text{--O}_{a1}$  in C-IM1 and  $\text{H}_{a1}\text{--O}_3$  are 0.445 and 0.439, respectively, which also indicated that the active proton  $\text{H}_{a1}$  transfers from  $\text{O}_{a1}$  to  $\text{O}_3$ .

The third step is the inverse of the first step, with proton transfer to  $\text{O}_3$  of DMC to form methanol. The above evidence demonstrates that  $\text{AlCl}_3$  in this reaction plays a vital proton carrier role in assisting hydrogen transfer. As described in Fig. 3, the energy barriers of these three steps are  $19.9\text{ kcal mol}^{-1}$ ,  $14.5\text{ kcal mol}^{-1}$  and  $19.2\text{ kcal mol}^{-1}$ , respectively, suggesting that the first step is rate determining. The activation energy required for the rate-determining step of path C ( $19.9\text{ kcal mol}^{-1}$ ) is much lower than those in path A ( $40.4\text{ kcal mol}^{-1}$ ) and path B ( $31.4\text{ kcal mol}^{-1}$ ). Finally, complexation of  $\text{AlCl}_3$  with the carbonyl oxygen of DMC was studied (ESI† section 6, path D1). This was discounted as a potential mechanism pathway as the activation energy is not only much higher than that of catalysed pathway path C, but also much higher than that of catalyst-free pathway path B, which indicated that structure-B in Fig. 2 is still the active species for carboxymethylation reaction even though structure-A is the high content species.



**Fig. 1**  $^1\text{H}$  NMR of pure DMC (red line) and the complex of DMC with  $\text{AlCl}_3$  (blue line), where the chemical shift of NMR solvent  $\text{CDCl}_3$  is set as 7.26 ppm in both spectrums.



**Table 2**  $^{13}\text{C}$  NMR of pure DMC and complex of DMC with  $\text{AlCl}_3$ 

	$\delta$ (ppm) of methyl carbon of DMC <sup>a</sup>	$\delta$ (ppm) of carbonyl carbon of DMC <sup>a</sup>
DMC	54.56	156.18
DMC with $\text{AlCl}_3$ <sup>b</sup>	54.77	156.31

<sup>a</sup> The chemical shift of NMR solvent  $\text{CDCl}_3$  was set as 77.00 ppm. <sup>b</sup> Mole ratio of DMC to  $\text{AlCl}_3$  is 10 : 1.

In this study, geometry optimisations were also carried out using M06-2X/6-311+G(d,p) level of theory. The thermodynamic quantities were calculated at the same level, and the results are described in Table 4 and ESI† section 7. As shown in Table 4, the activation energy barriers obtained by M06-2X and CAM-B3LYP methods, while not identical, are in close enough agreement. This suggests that both computational methods applied in this work are reasonable and reliable.

The theoretical results discussed demonstrate that the Lewis acid  $\text{AlCl}_3$ , can effectively reduce the activation energy for carboxymethylation of 1-octanol by DMC by acting as a vital proton carrier. This proton carrier effect can be clearly illustrated by molecular orbitals analysis. As described in Fig. 5(a), the obvious interaction between the  $\text{H}_{\text{a}1}$  1s orbital and  $\text{Cl}_1$  3 $\text{P}_z$  orbital can be observed in C-TS1. In C-TS2 (see Fig. 5(b)), the  $\text{H}_{\text{a}1}$  1s orbital interacts not only with  $\text{Cl}_1$  3 $\text{P}_z$  orbital but also the delocalised  $\pi$ -bonds, which results in a lower energy transition state. Theoretical calculations show good agreement with the experimental results. Experimental activation energy has not been measured since Arrhenius equation, based on collision elementary reaction model, is less suitable for complex organic reactions, and the experimental activation energy obtained by Arrhenius equation may not be comparable to the calculated results.

## Conclusions

Until now, reactions between nucleophiles and dimethyl carbonate in the presence of an acid catalyst have neither been widely exploited nor understood. This work utilised a com-

bined experimental and DFT study to gain fundamental understanding of the catalytic effect of aluminium chloride on DMC carboxymethylation reactions. Experimental data showed that catalytic loading of  $\text{AlCl}_3$  enabled quantitative carboxymethylation of octanol in less than 20 h, while in the absence of a catalyst, only trace amounts of product were observed. *In silico* DFT calculations described possible complexation between DMC and  $\text{AlCl}_3$  which would increase reactivity towards carboxymethylation.

The geometry of the identified transition states and related energy barriers demonstrated that the activation energy in  $\text{AlCl}_3$  catalysed reaction pathways are much lower than those in catalyst-free pathways. These results were in good agreement with experimental results. In addition, the natural bond orbital analysis and molecular orbitals analysis demonstrate that, in comparison with the non-catalyst conditions, the dipole present in Al–Cl covalent bonding plays a vital proton carrier role in assisting proton-transfer. These theoretical results are in good agreement with experimental observations.

Most importantly, this research provides a vital basic theory for the exploration and design of new efficient Lewis acid catalysed processes in the field of dialkyl carbonate chemistry. The use of such catalysts will increase the range of substrates available for dialkyl carbonate chemistry (to include acid substrates). The acid catalysed synthetic pathways in combination with the use of *in silico* modelling, applications testing and toxicity measurements may lead to the development of greener solvents and products.<sup>19</sup> As demonstrated in this study, acid catalysts offer an efficient method to access carboxymethylation reaction pathways that cannot be investigated utilising traditional base catalysed processes.

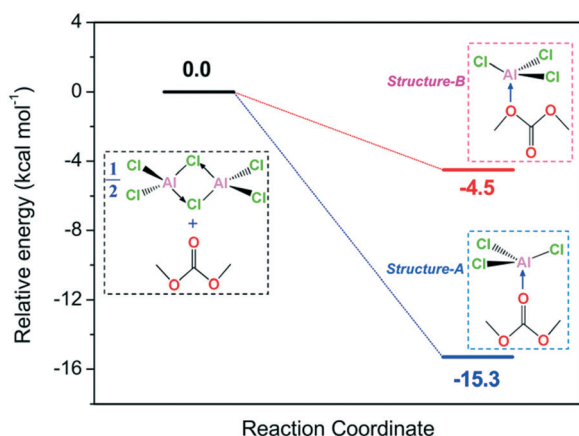
## Experimental section

### Materials

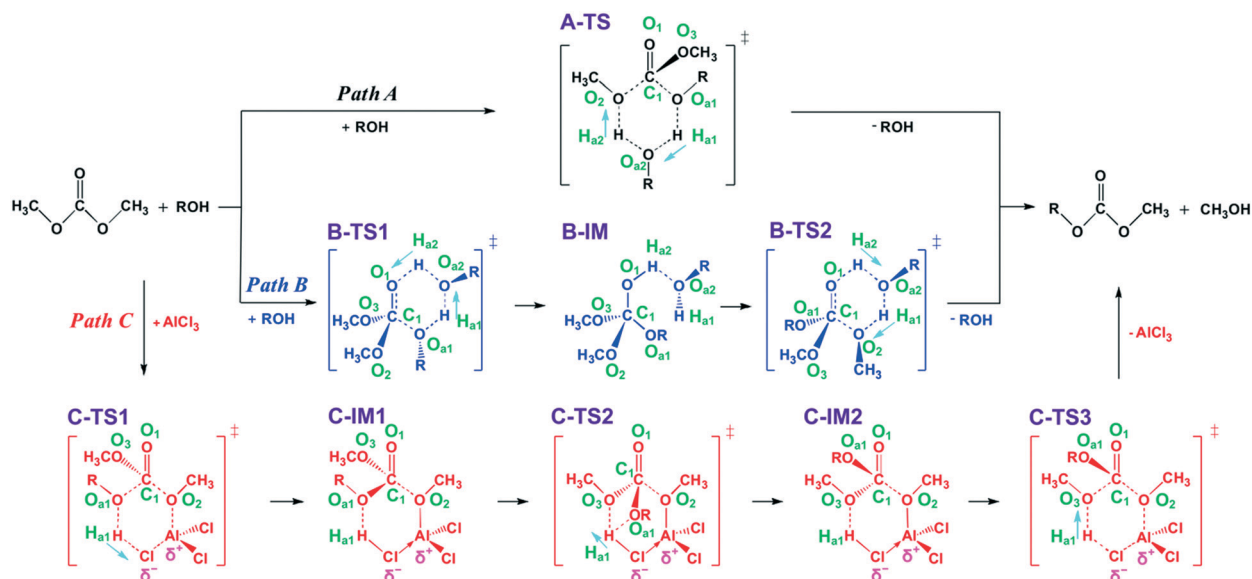
1-Octanol 99%, dimethyl carbonate 99%, anhydrous aluminium chloride 99%, methanol 99.9%, acetone 99.9%, chloroform-d ( $\text{CDCl}_3$ , 99.8% D) and tetradecane (analytical standard) were all purchased from Sigma-Aldrich.

### Experiment process

To two 100  $\text{cm}^3$  round bottom flasks placed on a multi-point reflux reactor (Radleys, RR98073) were added 6.00 mmol 1-octanol, 240.00 mmol DMC and 50  $\mu\text{L}$  tetradecane (GC internal standard), respectively. To one flask 0.06 mmol anhydrous aluminium chloride was added and then both heated to reflux with agitation. The reactions were run for 19 hours,



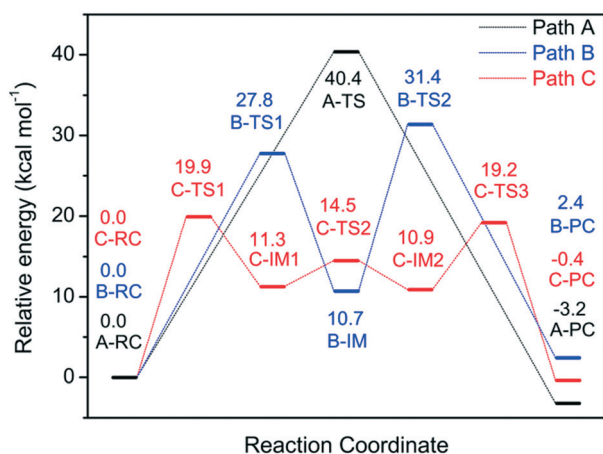
**Fig. 2** Relative free energy profiles ( $\Delta G$ ,  $\text{kcal mol}^{-1}$ ) for the complex structure of the different oxygen of DMC interacted with aluminium chloride obtained by the DFT/CAM-B3LYP method.



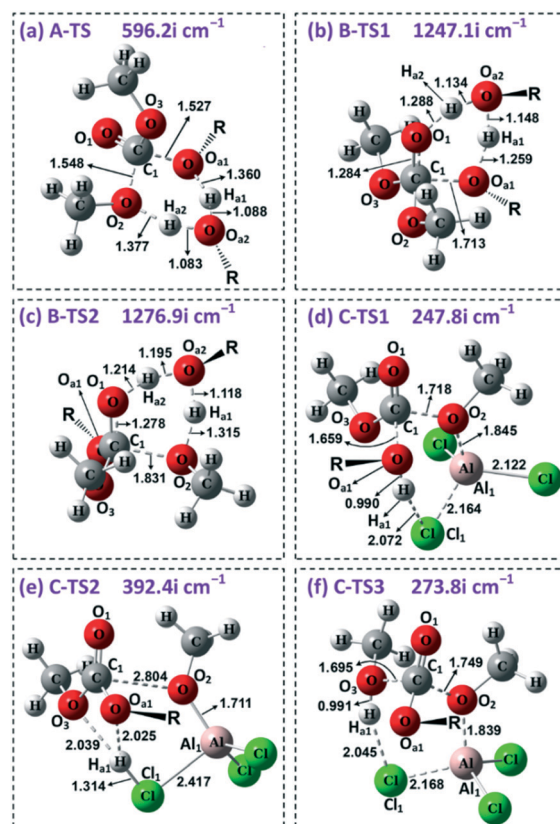
**Scheme 2** Reaction pathways of the carboxymethylation of 1-octanol with DMC under the condition of catalyst-free or in the presence of Lewis acid  $\text{AlCl}_3$  ( $R = n\text{-octyl}$ ) obtained by the DFT calculations. The others possible Lewis acid catalysed and non-catalyst reaction pathways are all described in ESI†

with analysis over time by gas chromatography (GC). Upon completion of the reaction, MOC was separated by distillation under reduced pressure, and its GC, GC-MS,  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectra were obtained. Each reaction was run in triplicate.

MOC:  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 0.87$  (t,  $J = 6.40$  Hz, 3H,  $\text{CH}_3$ ), 1.19–1.39 (m, 10H,  $\text{CH}_2$ ), 1.65 (m,  $J = 6.88$  Hz, 2H,  $\text{CH}_2$ ), 3.77 (s, 3H,  $\text{CH}_3$ ), 4.12 (t,  $J = 6.84$  Hz, 2H,  $\text{CH}_2$ ) ppm.  $^{13}\text{C}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 14.05$ , 22.60, 25.65, 28.63, 29.12, 29.14, 31.73, 54.59, 68.24, 155.87 ppm. GC-MS (relative intensity, 70 eV)  $m/z$ : 117, 112, 103, 97, 84, 77(100), 70, 59, 57, 55, 45, 43, 41.



**Fig. 3** Relative energy profiles (in  $\text{kcal mol}^{-1}$ ) for the carboxymethylation reaction between DMC and 1-octanol along three pathways obtained by the DFT/CAM-B3LYP method (path A and path B are catalyst-free pathways; path C is  $\text{AlCl}_3$  catalysed pathway). Relative enthalpy profiles and relative free energy profiles are also described in ESI† (Fig. S18 and S19).



**Fig. 4** Optimised transition state (TS) structures along three pathways for the carboxymethylation of 1-octanol with DMC obtained by the DFT/CAM-B3LYP method, and the imaginary frequency of TS and important bond lengths (angstroms) are also shown in this figure ( $R = n\text{-octyl}$ ).

**Table 3** The natural atom charges ( $Q$ ) and the Wiberg bond indices (WBIs) between active hydrogen atom ( $H_{a1}$ ) and carrier chlorine atom ( $Cl_1$ ) or acceptor oxygen atom ( $O_{a1}$  and  $O_3$ ), obtained by the DFT/CAM-B3LYP method

Species	$Q(H_{a1})$	$Q(Al_1)$	$Q(Cl_1)$	$Q(O_{a1})$	$Q(O_3)$	$H_{a1}-Cl_1$	$H_{a1}-O_{a1}$	$H_{a1}-O_3$
C-IM1	0.516	1.474	-0.460	-0.610	-0.529	0.264	0.445	0.003
C-TS2	0.342	1.528	-0.153	-0.589	-0.580	0.825	0.027	0.026
C-IM2	0.508	1.480	-0.454	-0.540	-0.597	0.284	0.003	0.439

### GC-FID analysis

During this research, an Agilent 6890 N gas chromatography equipped with a flame ionisation detector (GC-FID) was applied. The GC-FID had a ZB5HT capillary column ( $30\text{ m} \times 250\text{ }\mu\text{m} \times 0.25\text{ }\mu\text{m}$  nominal, max temperature  $400\text{ }^\circ\text{C}$ ) at  $20.2\text{ psi}$  constant pressure. The carrier gas utilised in the GC-FID was helium with flow rate at  $2.0\text{ cm}^3\text{ min}^{-1}$  in constant flow mode. The split ratio used was  $10:1$ . The initial oven temperature was held at  $50\text{ }^\circ\text{C}$  for 4 minutes, after which the temperature was increasing by  $10\text{ }^\circ\text{C}$  per minute to  $300\text{ }^\circ\text{C}$  and held at  $300\text{ }^\circ\text{C}$  for 10 minutes. The temperature of the injector was  $300\text{ }^\circ\text{C}$ , and the temperature of flame ionisation detector was held at  $340\text{ }^\circ\text{C}$ . Each of the GC samples consisted of  $30\text{ mg}$  product mixture and  $1.5\text{ cm}^3$  acetone as GC solvent.

### GC-MS analysis

During the experiment, gas chromatograph-mass spectrometry (GC-MS) was carried out on a Perkin Elmer Clarus 500 GC along with a Clarus 560 S quadrupole mass spectrometer. The instrument had a DB5HT capillary column ( $30\text{ m} \times 250\text{ }\mu\text{m} \times 0.25\text{ }\mu\text{m}$  nominal, max temperature  $430\text{ }^\circ\text{C}$ ). The carrier gas utilised in the GC-MS was helium with flow rate at  $1.0\text{ cm}^3\text{ min}^{-1}$ . The split ratio was set as  $10:1$ . The injector temperature was maintained at  $330\text{ }^\circ\text{C}$ . The starting temperature of the oven was held at  $50\text{ }^\circ\text{C}$  for 4 minutes, after which, the temperature was then increased with a rate of  $10\text{ }^\circ\text{C}$  per minute to  $300\text{ }^\circ\text{C}$  and held for 10 minutes. The Clarus 500 quadrupole mass spectrum was run in electron ionisation (EI) mode at  $70\text{ eV}$  with the source temperature and quadrupole both at  $300\text{ }^\circ\text{C}$ . The  $m/z$  mass scan was in the range of 40 to  $640\text{ }m/z$ . The data was collected by PerkinElmer enhanced TurboMass (Ver. 5.4.2) chemical software. The structure of the product was identified by direct comparison of the standard mass spectrum provided in the NIST library (Ver. 2.0). The GC-MS sample consisted of  $30\text{ mg}$  product and  $1.5\text{ cm}^3$  acetone as GC solvent.

**Table 4** The activation energy barriers (in  $\text{kcal mol}^{-1}$ ) of the catalyst-free pathways and  $AlCl_3$  catalysed pathway obtained by M06-2X and CAM-B3LYP methods

Method	Path A	Path B	Path C
M06-2X	39.2	29.0	18.2
CAM-B3LYP	40.4	31.4	19.9

### FT-IR analysis

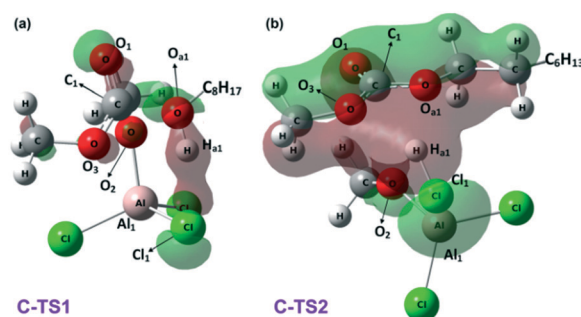
Infrared spectra was recorded on a PerkinElmer Spectrum Two FT-IR spectrophotometer with the PerkinElmer UATR-TWO diamond ATR. PerkinElmer Spectrum software (Ver. 10.03.07.0112) was used to collect and process all the spectral data.

### $^1\text{H}$ NMR and $^{13}\text{C}$ NMR analysis

The  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectrums of the samples in this research were recorded by a JEOL JNM-ECS 400 MHz spectrometer.  $100\text{ mg}$  sample was dissolved in  $1\text{ cm}^3\text{ CDCl}_3$ . 16 scans were used for the  $^1\text{H}$  NMR analysis, and 256 scans were used for  $^{13}\text{C}$  NMR analysis. The data of  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR was analysed by ACD/NMR Processor Academic Edition software (Ver. 12.01).

### Quantum chemical calculations

The electronic structure calculations for all of the species have been carried out with Kohn-Sham density functional theory (DFT)<sup>7</sup> methods by using Gaussian 09 program.<sup>15</sup> All of the geometric structures were fully optimised at the CAM-B3LYP<sup>16</sup> level of theory, which included long range correction using the Coulomb-attenuating method. In addition, the M06-2X functional was also applied in this work for comparison. The Grimme's D3-correction with Becke-Johnson damping [D3(BJ)] was used to include London-dispersion correction.<sup>17</sup> The triple split valence basis set 6-311+G(d,p) was used to describe hydrogen, carbon, oxygen, aluminium and chlorine atoms. The default fine grid (75, 302), having 75 radial shells and 302 angular points per shell, was utilised to evaluate the numerical integration accuracy.



**Fig. 5** The important HOMO molecular orbitals of (a) C-TS1 HOMO-25 and (b) C-TS2 HOMO-39 display the interaction between active hydrogen atom  $H_{a1}$  and carrier chlorine atom  $Cl_1$ . The red and green orbitals represent the plus-minus ( $\pm$ ) for the angular part of molecular wave function  $Y_{lm}(\theta, \phi)$ .

The harmonic vibrational frequencies were calculated after the geometry optimisations to characterise the nature of the stationary point as a minimum with all positive frequencies or as a transition state with only one imaginary frequency and to provide thermodynamic quantities (at 363.15 °K, 0.1 MPa) such as the enthalpies, entropies and Gibbs free energies. In order to compare the activation energy barriers of different reaction pathways, the energies of reactant complexes (RC) are assumed to be their respective reference values (zero) for different pathways.

In addition, the intrinsic reaction coordinate (IRC)<sup>18</sup> calculations were carried out to verify the transition state (TS) associated with the correct reactant complexes (RC), intermediate (IM) and product complexes (PC). The Wiberg bond indices (WBIs)<sup>14</sup> and natural atomic charges were determined by natural bond orbital (NBO)<sup>13</sup> analysis at the same level.

## Conflicts of interest

Q3

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